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B. Noel Kivlin			SCHELL, JOSEPH O	
Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. P.O. Box 398			ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

U.S. Patent and Trademark Office PTOL-326 (Rev. 7-05)

1) Notice of References Cited (PTO-892)

Paper No(s)/Mail Date ___

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)

4) Interview Summary (PTO-413)

Paper No(s)/Mail Date. _

6) Other: _____.

Notice of Informal Patent Application (PTO-152)

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Detailed Action

Claims 1-35 have been examined.

Claims 6, 10, 27 and 31 have been objected to as containing allowable subject matter, yet dependant upon rejected base claims.

Claims 1-5, 7-9, 11-26, 28-30, and 32-35 have been rejected.

Oath/Declaration

The Oath appears to claim priority from one or more U.S. Provisional
 Applications but no application numbers are provided. At this time an earlier priority has not been granted.

Allowable Subject Matter

- 2. Regarding claims 6 and 27, when considered within the entirety of each claim, the examiner deems the novel limitation to be the staggered transmission of a reset signal to compensate for network delay.
- 3. Regarding claims 10 and 31, when considered within the entirety of each claim, the examiner deems the novel limitation to be the transmission of time-offset information from the host to subsystem with which a local time is associated.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claim 11 line 1 expresses the limitation "the operating system." There is insufficient antecedent basis for this limitation within the claim.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 15-17, 20-26, and 28-30 are rejected under 35 U.S.C. 102(b) as being anticipated by Beardsley (US Patent 5,471,631).
- 6. As per claim 15, Beardsley ('631) discloses an electronic device including at least one processor and a plurality of chips, each said chip associated with a local time counter, said electronic device including a Time Base selected by said processor, said Time Base being a baseline time value, a method for determining a global ordering of events, said method comprising the steps of:

determining an offset between the time indicated by said Time Base and the time indicated by each of said local time counters associated with said plurality of chips (column 3 lines 3-5);

recording each offset associated with each said local time counter at a location accessible to said processor (column 9 lines 53-55, the time-correlation is saved in the SFLOG and column 4 lines 52-60, the host processor can later access the logs for performing problem determinations);

receiving notice at said processor of an event detected with one of said plurality of chips, said notice accompanied by a timestamp generated by said local time counter at the time of the occurrence of said detected event (column 2 lines 54-61, when the host system reads the log it receives the notice of event); and

normalizing said timestamp using said offset, said normalized timestamp being compared with other reported events and associated normalized timestamps to determine an order of occurrence of said events (column 2 lines 58-61, events are saved with peripheral timestamps. Column 2 lines 66-67, time correlation data is also stored).

7. As per claim 16, Beardsley ('631) discloses the method of claim 15 wherein more than one reported timestamp is normalized using said offsets prior to determining said order of occurrence (Beardsley ('631) column 2 lines 58-61, events are saved with peripheral timestamps. Column 2 lines 66-67, time correlation data is also stored.

Column 1 lines 46-53, the goal of the system is to facilitation error log reading of a

networked system. Because the correlated timestamp is generated to determine an order of events in an error log, it would need to be generated before the determination is made).

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8. As per claim 17, Beardsley ('631) discloses the method of claim 15, comprising the further steps of:

determining an offset for an additional time counter associated with an additional chip in said electronic device following the initial determination and recording of said offsets for said plurality of local time counters associated with said plurality of chips (column 7 lines 62-65, a cluster synchronizes its SFCLK with that of a neighbor cluster after initialization, column 8 lines 40-43, the subsystem waits for the "set subsystem time" command from the host and column 6 lines 50-53, an entry in SFLOG is used for correlating times); and

recording said offset at said location accessible to said processor (column 9 lines 53-55, the time-correlation is saved in the SFLOG and column 4 lines 52-60, the host processor can later access the logs for performing problem determinations).

9. As per claim 20, Beardsley ('631) discloses in an electronic device, a system for determining a global ordering of events, said system, comprising:

at least one processor, said processor having access to a Time Base, said Time Base being a baseline time value (column 2 lines 47-49, the host time);

a plurality of chips, each said chip associated with a local time counter (column 2 lines 53-54, the current clock time), and

a storage location accessible to said processor, said storage location holding data structures holding programmatically determined offsets between the time indicated by said Time Base and the time indicated by each of said local time counters associated with said plurality of chips, said offsets being applied to normalize reported events from at least one of said plurality of chips and an associated timestamp generated by a local time counter, said normalization helping to determining an order of occurrence of events in said electronic device.

10. As per claim 21, Beardsley ('631) discloses the system of claim 20 wherein the local time counters are not synchronized with each other (column 7 lines 62-65, the counters are not synchronized until the system synchronizes them).

As per claim 22, Beardsley ('631) discloses in an electronic device including at least one processor and a plurality of chips, each said chip associated with a local time counter (column 2 lines 53-54, the current clock time), a medium holding computer-executable steps for a method, said method comprising the steps of:

detecting an event associated with one of said plurality of chips (column 2 lines 62-66);

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generating a timestamp with said local time counter at the time of the occurrence of said detected event, said timestamp being associated with said event (column 2 lines 53-56); and

comparing said event and a normalized form of said timestamp with other events and associated normalized timestamps to determine an order of occurrence (column 3 lines 1-5, events are given a normalized timestamp. Column 5 lines 44-49, the purpose of the timestamp normalization is to allow for correlating event times, meaning that order of occurrence is also determined by the correlating).

11. As per claim 23, Beardsley ('631) discloses the medium of claim 22 wherein said method comprises the further steps of:

providing a Time Base selected by said processor, said Time Base being a baseline time value (column 2 lines 47-49, the host time); and

transmitting a reset instruction from said processor to said plurality of local time counters associated with said plurality of chips, said plurality of local time counters resetting to a designated time so as to be synchronized with respect to each other (column 5 lines 51-57, the host processor sends a "set system time" command to selected peripheral subsystems).

12. As per claim 24, Beardsley ('631) discloses the medium of claim 23 where said processor maintains a record of the offset between the reset value of the local time

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counter and the Time Base (column 9 lines 44-49, peripheral timestamp and correlated timestamps are both saved in the log).

- 13. As per claim 25, Beardsley ('631) discloses the medium of claim 23 wherein said designated time is the Time Base and said plurality of local time counters are reset so as to indicate the same time as said Time Base (column 5 lines 51-57, the host processor sends a "set system time" command to selected peripherals, synchronizing them to the host time).
- 14. As per claim 26, Beardsley ('631) discloses the medium of claim 23 wherein the transmitting of said reset instruction is performed using a simultaneous multicast write operation performed by said processor (column 8 lines 57-63, system time is sent to select clusters of peripheral subsystems).
- 15. As per claim 28, Beardsley ('631) discloses the medium of claim 23, wherein said method comprises the further steps of: resetting all of said plurality of chips and an additional chip, said resetting being performed to add an additional chip that is synchronized with said plurality of chips (column 7 line 49, IML is an initialization procedure, and column 7 lines 62-65, after the IML a cluster synchronizes its clock to another cluster).

16. As per claim 29, Beardsley ('631) discloses the medium of claim 22, wherein said method comprises the further steps of:

providing a Time Base in a location accessible to said processor, said Time Base being a baseline time value (column 2 lines 47-49, the host time);

determining an offset between the time indicated by said Time Base and the time indicated by each of said local time counters associated with said plurality of chips (Beardsley ('631) column 3 lines 3-5);

recording each offset associated with each said local time counter at a location accessible to said processor (column 9 lines 53-55, the time-correlation is saved in the SFLOG and column 4 lines 52-60, the host processor can later access the logs for performing problem determinations); and

normalizing the timestamps associated with said detected events using offsets associated with the local time counter generating the timestamps prior to determining said order of occurrence (column 2 lines 58-61, events are saved with peripheral timestamps. Column 2 lines 66-67, time correlation data is also stored. Column 1 lines 46-53, the goal of the system is to facilitation error log reading of a networked system. Because the correlated timestamp is generated to determine an order of events in an error log, it would need to be generated before the determination is made).

17. As per claim 30, Beardsley ('631) discloses the medium of claim 29, wherein said method comprises the further steps of:

determining an offset for an additional time counter associated with an additional chip in said electronic device following the initial determination and recording of said offsets for said plurality of local time counters associated with said plurality of chips (column 7 lines 62-65, a cluster synchronizes its SFCLK with that of a neighbor cluster after initialization, column 8 lines 40-43, the subsystem waits for the "set subsystem time" command from the host and column 6 lines 50-53, an entry in SFLOG is used for correlating times); and

recording said offset at said location accessible to said processor (column 9 lines 53-55, the time-correlation is saved in the SFLOG and column 4 lines 52-60, the host processor can later access the logs for performing problem determinations).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 18. Claims 1-5 and 7-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Beardsley ('631) in view of Shima (US Patent 6,718,476).
- 19. As per claim 1, Beardsley ('631) discloses in an electronic device including at least one processor and a plurality of chips, each said chip associated with a local time

counter (column 2 lines 53-54, the current clock time) a method for determining a global ordering of events (column 1 lines 49-52), said method comprising the steps of:

detecting an event associated with one of said plurality of chips (column 2 lines 62-66);

generating a timestamp with said local time counter at the time of the occurrence of said detected event, said timestamp being associated with said event (column 2 lines 53-56); and

comparing said event and a normalized form of said timestamp with other events and associated normalized timestamps to determine an order of occurrence (column 3 lines 1-5, events are given a normalized timestamp. Column 5 lines 44-49, the purpose of the timestamp normalization is to allow for correlating event times, meaning that order of occurrence is also determined by the correlating).

Beardsley ('631) does not expressly disclose the system wherein the electronic device is an isochronous electronic device.

Shima ('476) teaches a system wherein a group of nodes with local clock synchronization (column 4 lines 29-37 and lines 53-57). The system uses a Firewire compliant bus for communication (column 4 lines 58-60, Standard 1394b).

At the time of invention it would have been obvious to a person of ordinary skill in the art to modify the timestamp correlating system disclosed by Beardsley ('631) such that it

uses an isochronous protocol for data transmission between the nodes. This modification would have been obvious because isochronous transmission allows for guaranteed bandwidth use with low overhead (Shima ('476) column 1 lines 26-31).

20. As per claim 2, Beardsley ('631) in view of Shima ('476) discloses the method of claim 1, comprising the further steps of: providing a Time Base selected by said processor, said Time Base being a baseline time value (Beardsley ('631) column 2 lines 47-49, the host time); and

transmitting a reset instruction from said processor to said plurality of local time counters associated with said plurality of chips, said plurality of local time counters resetting to a designated time so as to be synchronized with respect to each other (Beardsley ('631) column 5 lines 51-57, the host processor sends a "set system time" command to selected peripheral subsystems).

- 21. As per claim 3, Beardsley ('631) in view of Shima ('476) discloses the method of claim 2 where said processor maintains a record of the offset between the reset local time counter time and the Time Base (Beardsley ('631) column 9 lines 44-49, peripheral timestamp and correlated timestamps are both saved in the log).
- 22. As per claim 4, Beardsley ('631) in view of Shima ('476) discloses the method of claim 2 wherein said designated time is the Time Base and said plurality of local time counters are reset so as to indicate the same time as said Time Base (Beardsley ('631)

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column 5 lines 51-57, the host processor sends a "set system time" command to selected peripherals, synchronizing them to the host time).

- 23. As per claim 5, Beardsley ('631) in view of Shima ('476) discloses the method of claim 2 wherein said transmitting of said reset instruction is performed using a simultaneous multicast write operation performed by said processor (Beardsley ('631) column 8 lines 57-63, system time is sent to select clusters of peripheral subsystems).
- 24. As per claim 7, Beardsley ('631) in view of Shima ('476) discloses the method of claim 2, comprising the further steps of: resetting all of said plurality of chips and an additional chip, said resetting being performed to add an additional chip that is synchronized with said plurality of chips (Beardsley ('631) column 7 line 49, IML is an initialization procedure, and column 7 lines 62-65, after the IML a cluster synchronizes its clock to another cluster).
- 25. As per claim 8, Beardsley ('631) in view of Shima ('476) discloses the method of claim 1, comprising the further steps of:

providing a Time Base selected by said processor, said Time Base being a baseline time value (Beardsley ('631) column 2 lines 47-49, the host time);

determining an offset between the time indicated by said Time Base and the time indicated by each of said local time counters associated with said plurality of chips (Beardsley ('631) column 3 lines 3-5);

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recording each offset associated with each said local time counter at a location accessible to said processor (Beardsley ('631) column 9 lines 53-55, the time-correlation is saved in the SFLOG and column 4 lines 52-60, the host processor can later access the logs for performing problem determinations); and

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normalizing the timestamps associated with said detected events using offsets associated with the local time counter generating the timestamps prior to determining said order of occurrence (Beardsley ('631) column 2 lines 58-61, events are saved with peripheral timestamps. Column 2 lines 66-67, time correlation data is also stored. Column 1 lines 46-53, the goal of the system is to facilitation error log reading of a networked system. Because the correlated timestamp is generated to determine an order of events in an error log, it would need to be generated before the determination is made).

26. As per claim 9, Beardsley ('631) in view of Shima ('476) discloses the method of claim 8, comprising the further steps of:

determining an offset for an additional time counter associated with an additional chip in said electronic device following the initial determination and recording of said offsets for said plurality of local time counters associated with said plurality of chips (Beardsley ('631) column 7 lines 62-65, a cluster synchronizes its SFCLK with that of a neighbor cluster after initialization, column 8 lines 40-43, the subsystem waits for the "set subsystem time" command from the host and column 6 lines 50-53, an entry in SFLOG is used for correlating times); and

recording said offset at said location accessible to said processor (Beardsley ('631) column 9 lines 53-55, the time-correlation is saved in the SFLOG and column 4 lines 52-60, the host processor can later access the logs for performing problem determinations).

- 27. Claims 11 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Beardsley ('631) in view of Shima ('476) as applied to claim 1, and in further view of Pawlowski (US Patent 5,682,551).
- 28. As per claim 11, Beardsley ('631) in view of Shima ('476) discloses the method of claim 1 wherein a timestamp received is associated with said reported event and timestamp (Beardsley ('631) column 5 lines 51-54).

Beardsley ('631) in view of Shima ('746) does not expressly disclose the method wherein the timestamp is from software or from the operating system.

Pawlowski ('551) teaches a system that checks the status of I/O (see abstract). The system also makes use of software timers initiated from a time of day clock (column 12 lines 27-31).

At the time of invention it would have been obvious to a person of ordinary skill in the art to modify the system disclosed by Beardsley ('631) in view of Shima ('476) such that the

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host time is a software timer associated with the host system's operating system. This modification would have been obvious because a "time of day" clock is running on most computers and it's use requires no additional hardware (Pawlowski ('551) column 12 lines 30-36).

- 29. As per claim 12, Beardsley ('631) in view of Shima ('476) and Pawlowski ('551) discloses the method of claim 11 wherein said software timestamp is used in determining said order of occurrence of events (Beardsley ('631 column 5 lines 44-48, the timestamp received from the host is used for determining offset. And column 3 lines 1-5, the offset is used for putting all event times into host time, which allows for an accurate order of occurrence to be determined).
- 30. Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Beardsley ('631) in view of Shima ('476) as applied to claim 1, and in further view of Meltzer (US Patent 4,852,095).

Beardsley ('631) in view of Shima ('476) discloses the method of claim 1. Beardsley ('631) in view of Shima ('476) does not expressly disclose the method wherein each said chip is associated with a local event register, said local event register recording the occurrence of a hardware event associated with said chip.

Meltzer ('095) teaches an error detection system for multiple FRUs (see abstract and Figure 1). The system includes a counter that indicates which FRU first reported an error (column 2 lines 8-100).

At the time of invention it would have been obvious to a person of ordinary skill in the art to modify the system disclosed by Beardsley ('631) such that each peripheral has an associated counter for error indication. This modification would have been obvious because some errors propagate between subsystems quickly making it difficult to determine where the error originated (Meltzer ('095) column 1 lines 18-22) and the error reporting register identifies which unit first experienced the error (Meltzer ('095) column 2 lines 2-8).

31. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Beardsley ('631) in view of Shima ('476) as applied to claim 1, and in further view of Fisher (US Patent 6,334,191).

Beardsley ('631) in view of Shima ('476) discloses the method of claim 1. Beardsley ('631) in view of Shima ('476) does not expressly disclose the medium wherein more than one of said plurality of chips is associated with the same local time counter.

Fisher ('191) teaches a system wherein a single timer generates output events for multiple I/O devices (column 1 lines 39-42).

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('191) column 1 lines 32-35).

At the time of invention it would have been obvious to a person of ordinary skill in the art to modify the system disclosed by Beardsley ('631) such that multiple clusters share the same timer circuitry as disclosed by Fisher ('191). This modification would have been obvious because it allows for decreased hardware costs and design flexibility (Fisher

32. Claims 18, 32, and 33 are rejected under 35 U.S.C. 103(a) as being

unpatentable over Beardsley ('631) in view of Pawlowksi ('551).

33. As per claim 18, Beardsley ('631) discloses the method of claim 16 wherein a timestamp received from the host is associated with said reported event and timestamp and used in determining said order of occurrence of events (column 5 lines 44-48, the timestamp received from the host is used for determining offset. And column 3 lines 1-5, the offset is used for putting all event times into host time, which allows for an accurate order of occurrence to be determined).

Beardsley ('631) does not expressly disclose the method wherein the timestamp is from software or from the operating system.

Pawlowski ('551) teaches a system that checks the status of I/O (see abstract). The system also makes use of software timers initiated from a time of day clock (column 12 lines 27-31).

At the time of invention it would have been obvious to a person of ordinary skill in the art to modify the system disclosed by Beardsley ('631) in view of Shima ('476) such that the host time is a software timer associated with the host system's operating system. This modification would have been obvious because a "time of day" clock is running on most computers and it's use requires no additional hardware (Pawlowski ('551) column 12 lines 30-36).

34. As per claim 32, Beardsley ('631) discloses the medium of claim 22 wherein a timestamp received from the host is associated with said reported event and timestamp (column 5 lines 51-54).

Beardsley ('631) does not expressly disclose the method wherein the timestamp is from software or from the operating system.

Pawlowski ('551) teaches a system that checks the status of I/O (see abstract). The system also makes use of software timers initiated from a time of day clock (column 12 lines 27-31).

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At the time of invention it would have been obvious to a person of ordinary skill in the art to modify the system disclosed by Beardsley ('631) in view of Shima ('476) such that the host time is a software timer associated with the host system's operating system. This modification would have been obvious because a "time of day" clock is running on most computers and it's use requires no additional hardware (Pawlowski ('551) column 12 lines 30-36).

- 35. As per claim 33, Beardsley ('631) in view of Pawlowski ('551) discloses the medium of claim 32 wherein said software timestamp is used in determining said order of occurrence of events (Beardsley ('631) column 5 lines 44-48, the timestamp received from the host is used for determining offset. And column 3 lines 1-5, the offset is used for putting all event times into host time, which allows for an accurate order of occurrence to be determined).
- 36. Claims 19 and 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Beardsley ('631) in view of Meltzer ('095).
- 37. As per claim 19, Beardsley ('631) discloses the method of claim 16. Beardsley ('631) does not expressly disclose the method wherein each said chip is associated with a local event register, said local event register recording the occurrence of a hardware event associated with said chip.

Meltzer ('095) teaches an error detection system for multiple FRUs (see abstract and Figure 1). The system includes a counter that indicates which FRU first reported an error (column 2 lines 8-100).

At the time of invention it would have been obvious to a person of ordinary skill in the art to modify the system disclosed by Beardsley ('631) such that each peripheral has an associated counter for error indication. This modification would have been obvious because some errors propagate between subsystems quickly making it difficult to determine where the error originated (Meltzer ('095) column 1 lines 18-22) and the error reporting register identifies which unit first experienced the error (Meltzer ('095) column 2 lines 2-8).

38. As per claim 34, Beardsley ('631) discloses the medium of claim 22. Beardsley ('631) does not expressly disclose the medium wherein an indication of said detected event is stored in a local event register.

Meltzer ('095) teaches an error detection system for multiple FRUs (see abstract and Figure 1). The system includes a counter that indicates which FRU first reported an error (column 2 lines 8-100).

At the time of invention it would have been obvious to a person of ordinary skill in the art to modify the system disclosed by Beardsley ('631) such that each peripheral has an

associated counter for error indication. This modification would have been obvious because some errors propagate between subsystems quickly making it difficult to determine where the error originated (Meltzer ('095) column 1 lines 18-22) and the error reporting register identifies which unit first experienced the error (Meltzer ('095) column 2 lines 2-8).

39. Claim 35 is rejected under 35 U.S.C. 103(a) as being unpatentable over Beardsley ('631) in view Fisher (US Patent 6,334,191).

Beardsley ('631) discloses the medium of claim 22. Beardsley ('631) does not expressly disclose the medium wherein more than one of said plurality of chips is associated with the same local time counter.

Fisher ('191) teaches a system wherein a single timer generates output events for multiple I/O devices (column 1 lines 39-42).

At the time of invention it would have been obvious to a person of ordinary skill in the art to modify the system disclosed by Beardsley ('631) such that multiple clusters share the same timer circuitry as disclosed by Fisher ('191). This modification would have been obvious because it allows for decreased hardware costs and design flexibility (Fisher ('191) column 1 lines 32-35).

Conclusion

The prior art made of record on accompanying PTO 892 form and not relied upon is considered pertinent to applicant's disclosure. Specifically, Fujino ('418) teaches a network system wherein a master node performs timestamp correction within slave nodes, Berry ('846) teaches a multiprocessor system wherein a master unit saves a record of the equivalent time for the secondary processors, Rajagopal ('998) teaches a system that calculates and saves time offsets of a network for event log correlating, Evans ('898) teaches a system that calculates offsets for re-synchronizing events in a media player, and Halstead ('524) teaches a multiprocessor system wherein the master processor saves and applies offsets to compensate for clock drift.

Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph Schell whose telephone number is (571) 272-8186. The examiner can normally be reached on Monday through Friday 9AM-4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Scott Baderman can be reached on (571) 272-3644. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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JS

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